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### CLINICAL AUDIT AND REVIEW

All Approved Sex Offender Providers and Evaluators shall participate in Clinical Audit and Review. Evaluators and Providers shall be scheduled for audit a minimum of once every other calendar year but may be audited more often if the need arises.

Providers and Evaluators may use clinical audit and review hours to satisfy the hours required for Peer Review.

**Purpose:**

To improve the overall quality and consistency of sex offender evaluation and treatment provided to sex offenders, DCS, TDOC, and the courts.

**Procedure:**

1. The Clinical Audit team shall consist of a minimum of two (2) current TSOTB Board members, both of whom must hold a current clinical license from the State of Tennessee. One (1) additional TSOTB Board member may be present during the review, if available. At least one of the two clinical members shall be considered an expert in the area of sex offender evaluation and treatment.
2. Evaluators and Providers shall be chosen randomly from each region of the state. The location of the clinical audit review meetings shall be determined to best suit the needs of the provider/evaluator. Clinical audit reviews will be a minimum of two (2) hours and will not exceed (4) hours. Clinical audit reviews may occur during the evening and weekend hours to best meet the needs of the persons participating.
3. Evaluators and Providers who have been selected to participate in a clinical audit review shall be notified by electronic mail a minimum of four (4) weeks before the audit meeting occurs to allow enough time for all documents to be submitted. If necessary, follow-up notification will occur by phone within 48 hours of the initial email, if there was no response from the provider.
4. Each evaluator will be required to present five (5) most recent evaluations. The evaluation reports shall be submitted one week before the clinical audit review meeting. Documents relative to the evaluation, including collateral information, objective testing documents, any scales and inventories completed by the evaluator and/or offender, and all risk assessments shall be brought with the evaluator to the clinical audit review meeting for review if needed.
5. Each provider will be required to present five (5) sex offender cases they are presently treating. Documents relative to the cases, including the sex offender risk evaluation, treatment contract, and treatment plan must be submitted a minimum of one week before the clinical audit review meeting.



## TENNESSEE SEX OFFENDER TREATMENT BOARD

### POLICY NO. 6

6. In instances where the evaluator and the treatment provider are one and the same, a total of only five (5) cases shall be submitted.
7. In addition to the cases submitted by the provider/evaluator, cases submitted for reimbursement to the fund may be reviewed during the clinical audit.
8. Providers may utilize attendance and participation in clinical audits and review for TSOTB training and supervision requirements by licensure guidelines.
9. Active Board Members are exempt from the Clinical Audit and Review requirement during the time they are serving on the TSOTB.

***\*\*\*Approved August 31, 2023\*\*\****